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## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

7

Application Number	10/606,146
Filing Date	June 24, 2003
First Named Inventor	Peter Hess
Art Unit	2856
Examiner Name	Thomas Noland
Total Number of Pages in This Submission	7
Attorney Docket Number	ESEC-P206US

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application  <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>  Withdrawal of Claim to Small Entity Status; copy of check submitted with filing on 6/24/03; copy of fee trans submitted on 6/24/03; copy of utility patent application transmittal submitted on 6/23/03.
<b>Remarks</b>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Thelen Reid & Priest LLP		
Signature			
Printed Name	David B. Ritchie		
Date	4-14-2005	Reg. No.	31,562

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature	
Typed or printed name	Monica Pizarro
Date	4/14/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.  
Fee Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

APR 18 2005  
O I P E  
P A T E N T & T R A D E M A R K  
S E C T I O N

## FEE TRANSMITTAL for FY 2005

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 415)**Complete if Known**

Application Number	10/606,146
Filing Date	June 24, 2003
First Named Inventor	Peter Hess
Examiner Name	Thomas Noland
Art Unit	2856
Attorney Docket No.	ESEC-P206US

**METHOD OF PAYMENT** (check all that apply)

- Check  Credit Card  Money Order  None  Other (please identify) : \_\_\_\_\_
- Deposit Account Deposit Account Number: 50-1698 Deposit Account Name: Thelen Reid & Priest LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

- Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee
- Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments

Under 37 CFR 1.16 and 1.17

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<b>Application Type</b>	<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>		
	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fees Paid (\$)</b>
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

**Small Entity**

Fee (\$) Fee (\$)

50 25

Each independent claim over 30 (including Reissues)

200 100

Multiple dependent claims

360 180

**Total Claims****Extra Claims****Fee (\$)****Fee Paid (\$)**

$$\text{--20 or HP=} \quad \text{---} \times \text{---} = \text{---}$$

HP = highest number of total claims paid for, if greater than 20.

**Multiple Dependent Claims**

Fee (\$) Fee Paid (\$)

**Indep. Claims****Extra Claims****Fee (\$)****Fee Paid (\$)**

$$\text{---3 or HP=} \quad \text{---} \times \text{---} = \text{---}$$

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
---100 = ---	/ 50 = ---	(round up to a whole number) x	= ---	---

**4. OTHER FEE(S)**

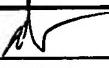
Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge) : 1001-Basic filing fee-Utility (filed before 12/8/04) minus \$375 which was previously paid: \$790-375=\$415

**Fees Paid (\$)**

\$415

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	31,562	Telephone	408.292.5800
Name (Print/Type)	David B. Ritchie			Date	4-14-2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Peter Hess et al.

SERIAL NO.: 10/606,146

FILING DATE: June 24, 2003

TITLE: Clamping Element with an Integrated Force Sensor

EXAMINER: Thomas Noland

ART UNIT: 2856

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date printed below:

Date: 4/14/05Name: Monica Pizarro  
Monica Pizarro

**COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450**

WITHDRAWAL OF CLAIM TO SMALL ENTITY STATUS

Applicant hereby respectfully asserts large entity status for the present application. On June 24, 2003 the above patent application and assignment were filed with the U.S. Patent and Trademark Office. Please note that a check (Exhibit A) in the amount of \$790.00 (which includes the large entity Utility filing fee for \$750.00 and assignment fee of \$40.00 ) was enclosed.

Applicant hereby requests that the U.S. Patent and Trademark Office records be updated to reflect the Applicant as a large entity and not a small entity. On June 24, 2003, the small entity box was checked in error on the fee transmittal (Exhibit B), claiming small entity status.

Please note that the Utility Patent Application Transmittal (Exhibit C) does not claim the

applicant as a small entity.

Applicants hereby withdraw the claim in the present application to Small Entity status.

On November 5, 2003 a refund was credited to our Deposit account in the amount of \$375.00. Accordingly please find a check in the amount of \$415.00 (basic filing fee - utility *filed before December 8, 2004*: \$790 minus \$375 which was previously paid) which will cover the costs for the large entity status. Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.

Respectfully submitted,

THELEN REID & PRIEST LLP

Dated: April 14, 2005

  
\_\_\_\_\_  
David B. Ritchie  
Reg. No. 31,562

Thelen Reid & Priest LLP  
P.O. Box 640640  
San Jose, CA 95164-0640  
Tel.: 408-292-5800  
Fax: 408-287-8040

**Thelen Reid & Priest LLP**

ATTORNEYS AT LAW  
225 West Santa Clara Street, Suite 1200  
San Jose, CA 95113-1723

BANK OF AMERICA NT & SA  
S.F. Commercial Banking Office #1499  
345 Montgomery Street  
San Francisco, CA 94104

11-35  
1210

Check Date:

June 24, 2003

Void After 90 Days

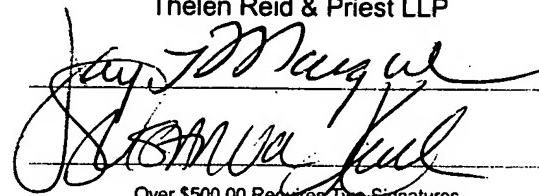
\$ \*\*\*\*\*790.00

Dollars

PAY Seven hundred ninety and 00/100\*\*\*\*\*

TO THE ORDER OF Commissioner for Patents

Thelen Reid &amp; Priest LLP



Over \$500.00 Requires Two Signatures

CSEC-P20leUS

000314600 1210003581 14992 04009

032633-29

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 790)

## Complete If Known

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Peter Hass, et al.
Examiner Name	Unassigned
Art Unit	Unassigned
Attorney Docket No.	ESEC-P206US

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money  Other  None  
Order
 Deposit Account:Deposit Account Number  
50-1698Deposit Account Name  
THELEN REID & PRIEST LLP

## The Director is authorized to: (check all that apply)

- 
- Charge fee(s) indicated below
- 
- Credit any overpayments
- 
- 
- Charge any additional fee(s) during the pendency of this application
- 
- 
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description			Fee Paid
Fee Code	Fee Code	(\\$)	(\\$)		
1001	2001	750	375	Utility filing fee	750
1002	2002	330	165	Design filing fee	
1003	2003	520	260	Plant filing fee	
1004	2004	750	375	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	
SUBTOTAL (1)				(\$ 750)	

## 2. EXTRA CLAIM FEES

Total Claims	-20 **	=	Extra Claims	Fee from below	Fee Paid
Independent Claims	12	-20 **	= 0	X 0	= 0
Multiple Dependent	1	-3 **	= 0	X 0	= 0

Large Entity	Small Entity	Fee Description		
Fee Code	Fee Code	(\\$)	(\\$)	
1202	2202	18	9	Claims in excess of 20
1201	2201	84	42	Independent claims in excess of 3
1203	2203	280	140	Multiple dependent claim, if not paid
1204	2204	84	42	** Reissue independent claims over original patent
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)			(\$ 0)	

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Code	(\\$)	(\\$)	Fee Description	Fee Paid
		1051	2051	130	65	Surcharge - late filing fee or oath	
		1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	
		1053	1053	130	130	Non-English specification	
		1812	1812	2,520	2,520	For filing a request for reexamination	
		1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action	
		1805	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action	
		1251	2251	110	55	Extension for reply within first month	
		1252	2252	410	205	Extension for reply within second month	
		1253	2253	930	465	Extension for reply within third month	
		1254	2254	1,450	725	Extension for reply within fourth month	
		1255	2255	1,970	985	Extension for reply within fifth month	
		1401	2401	320	160	Notice of Appeal	
		1402	2402	320	160	Filing a brief in support of an appeal	
		1403	2403	280	140	Request for oral hearing	
		1451	1451	1,510	1,510	Petition to institute a public use proceeding	
		1452	2452	110	55	Petition to revive - unavoidable	
		1453	2453	1,300	650	Petition to revive - unintentional	
		1501	2501	1,300	650	Utility issue fee (or reissue)	
		1502	2502	470	235	Design issue fee	
		1503	2503	630	315	Plant issue fee	
		1460	1460	130	130	Petitions to the Commissioner	
		1807	1807	50	50	Processing fee under 37 CFR 1.17(q)	
		1806	1806	180	180	Submission of Information Disclosure Stmt	
		8021	8021	40	40	Recording each patent assignment per property (times number of properties)	
		1809	2809	750	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
		1810	2810	750	375	For each additional invention to be examined (37 CFR § 1.129(b))	
		1801	2801	750	375	Request for Continued Examination (RCE)	
		1802	1802	900	900	Request for expedited examination of a design application	
Other fee (specify)							

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40)

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	David B. Ritchie	Registration No. Attorney/Agent)	31,562	Telephone	(408) 292-5800		
Signature				Date	6/24/2003		

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 15]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Sheets ]  
 a.  Newly executed (original or copy)
   
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for a continuation/divisional with Box 18 completed)*
6.  DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	ESEC-P206US
First Inventor	Peter Hess, et al.
Title	CLAMPING ELEMENT WITH AN INTEGRATED FORCE SENSOR
Express Mail Label No.	EV310857768US

**ADDRESS TO:** Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document (*if applicable*)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_ /

Art Unit: \_\_\_\_\_

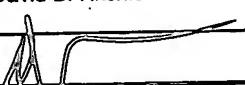
Prior application information: Examiner \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  
(Insert Customer No. or Attach bar code label here)      or     Correspondence address below

Name	David B. Ritchie				
Address	Thelen Reid & Priest LLP P.O. Box 640640				
City	San Jose	State	CA	Zip Code	95164-0640
Country	U.S.A.	Telephone	(408) 292-5800	Fax	(408) 287-8040

Name (Print/Type)	David B. Ritchie	Registration No. (Attorney/Agent)	31,562
Signature			Date <u>6/24/2003</u>

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